



IFW
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Mark S. Moir et al.

Title: SOFTWARE TRANSACTIONAL MEMORY FOR DYNAMICALLY
SIZABLE SHARED DATA STRUCTURES

Application No.: 10/621,072 Filed: July 16, 2003

Examiner: Horace L. Flournoy Group Art Unit: 2189

Atty. Docket No.: 004-8428 Confirmation No.: 1240

December 15, 2005

Mail Stop Amendment
COMMISSIONER FOR PATENTS
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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
37 C.F.R. § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings to the Examiner's attention in the above-identified application the patents, publications, applications or other information identified in the attached:

- ☒ Form(s) PTO/SB/08A (2 page(s), with copy of 9 reference(s)).
- ☐ Form(s) PTO/SB/08B (N/A page(s), with copy of no reference(s)).
- ☒ Listing of Pending Applications (1 page(s), with copy of no application(s)).
- ☐ Other: N/A

Citation of such information shall not be construed as (i) an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention, (ii) a representation that a search has been made, other than as described herein, or (iii) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance through (i) an English language abstract, (ii) an English language equivalent application, or (iii) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

CERTAIN COPIES NOT INCLUDED

Pursuant to operative regulations, certain copies need not be provided and corresponding information is identified in this Information Disclosure Statement by citation only. In particular,

- ☒ In accordance with 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. Patents and U.S. Patent Application Publications are not included.
- ☐ Certain information was submitted to, or cited by, the Office in Application No(s). N/A, filed N/A, to which the above-identified application claims priority under 35 U.S.C. § 120. In accordance with 37 C.F.R. § 1.98(d), copies of that information are not included.
- ☒ Pursuant to 1287 OG 163 (October 19, 2004), for those cited pending U.S. patent applications filed (or which entered the national stage under 35 U.S.C. § 371) on or after June 30, 2003 or which are otherwise stored in the USPTO's Image File Wrapper system, copies are not included.

FEE AUTHORIZATION

- ☐ This Information Disclosure Statement is filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
- ☒ The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.

If however, this Information Disclosure Statement is filed after the period specified in § 1.97(b), the undersigned hereby authorizes the Commissioner to charge the fee set forth in § 1.17(p) to Deposit Account No. 50-0631.

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that, on the date shown below, this correspondence is being

- ☒ deposited with the US Postal Service with sufficient postage as first class mail and addressed as shown above.
- ☐ facsimile transmitted to the US Patent and Trademark Office.

Kimberley Elcess 15-Dec-05
Kimberley Elcess Date

EXPRESS MAIL LABEL: _____

Respectfully submitted,

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PTO/SB/08A (Substitute for form 1449/PTO)	Attorney Docket No.: 004-8428
	Application No.: 10/621,072
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor: Mark S. Moir
	Filing Date: July 16, 2003
	Group Art Unit: 2189
	Examiner Name: Horace L. Flournoy
	Date Submitted: December 15, 2005

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-4,584,640	04-22-1986	MacGregor et al.		
		US-4,847,754	07-11-1989	Obermarck et al.		
		US-5,224,215	06-29-1993	Disbrow, John R.		
		US-5,319,778	06-07-1994	Catino, Robert J.		
		US-6,128,710	10-03-2000	Greenspan et al.		
		US-6,144,965	11-07-2000	Olivier, Richard J.		
		US-6,178,423	01-23-2001	Douceur et al.		
		US-6,360,219	03-19-2002	Bretl et al.		
		US-6,360,220	03-19-2002	Forin, Alessandro		
		US-6,366,932	04-02-2002	Christenson, David Alan		
		US-6,581,063	06-17-2003	Kirkman, Richard Karl		
		US-6,651,146	11-18-2003	Srinivas et al.		
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		EP 0 366 585	05-02-1990	IBM		
		EP 0 466 339	01-15-1992	IBM		
		WO 86/00434	01-16-1986	Motorola		
		WO 01/53942	07-26-2001	Steele, Jr. et al.		
Examiner Signature				Date Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional).

²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3)

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (Substitute for form 1449/PTO)	Attorney Docket No.: 004-8428
	Application No.: 10/621,072
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor: Mark S. Moir
(Continuation Sheet)	Filing Date: July 16, 2003
	Group Art Unit: 2189
	Examiner Name: Horace L. Flournoy
Sheet 2 of 2	Date Submitted: December 15, 2005

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-6,826,757	11-30-2004	Steele, Jr. et al.		
		US-2001/0047361	11-29-2001	Martin et al.		
		US-2003/0140085	07-24-2003	Moir et al.		
		US-2003/0174572	09-18-2003	Moir et al.		
		US-2003/0182462	09-25-2003	Moir et al.		
		US-2003/0182465	09-25-2003	Moir et al.		
		US-2004/0153687	08-05-2004	Moir et al.		
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		WO 01/53943	07-26-2001	Steele, Jr. et al.		
		WO 01/80015	10-25-2001	Martin et al.		
		WO 01/82057	11-01-2001	Moir et al.		
		WO 03/060705	07-24-2003	Moir et al.		
		WO 03/060715	07-24-2003	Moir et al.		
Examiner Signature				Date Considered		



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LIST OF PENDING U. S. PATENT APPLICATIONS

The following pending U.S. patent applications are listed for consideration by the Examiner.

Examiner Initials	Cite No.	Document Identification
	1	US Patent Application No. 09/547,288 (Attorney Docket No. 004-4663), filed April 11, 2000 and naming as inventor(s) Shavit et al.
	2	US Patent Application No. 09/547,290 (Attorney Docket No. 004-4664), filed April 11, 2000 and naming as inventor(s) Shavit et al.
	3	US Patent Application No. 09/710,218 (Attorney Docket No. 004-4896), filed November 10, 2000 and naming as inventor(s) Harris, Timothy
	4	US Patent Application No. 09/837,671 (Attorney Docket No. 004-5723), filed April 18, 2001 and naming as inventor(s) Detlefs et al.
Examiner Signature		Date Considered:

Applicant(s) are providing a separate list of pending applications, pursuant to MPEP § 609III(D), to avoid publication of the application numbers on any patent that issues from the above-identified application. Applicant(s) request that the Examiner indicate consideration of the pending applications listed above in accordance with MPEP 609III(C)(2) and return a copy of this page with the next communication.